

PATENT
21829/91 (EBC-007)

Receipt
#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Wei et al)	
Serial No	:	09/880,371)	Examiner:
Cnfrm No	:	4973)	Unknown
Filed	:	June 13, 2001)	Art Unit:
For	:	METHODS OF IMPROVING THE)	1645
		EFFECTIVENESS OF TRANSGENIC)	
		PLANTS)	

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

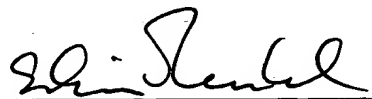
Dear Sir:

Applicants hereby request a third corrected filing receipt for the above-identified application. On the enclosed copy of the official corrected filing receipt, the entity status is omitted. It should read "SMALL ENTITY" per the small entity claim made on the Utility Patent Application Transmittal Form, as filed. A copy of the transmittal form is enclosed herewith.

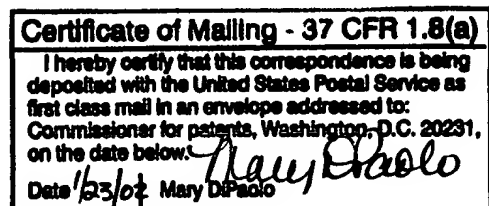
Since Applicants are not responsible for this error, no fee is enclosed.

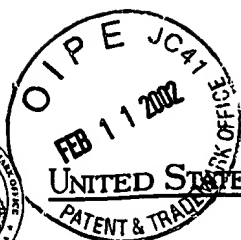
Respectfully submitted,

Dated: January 22, 2002


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/880,371	06/13/2001	1645	1026	21829/91 (EBC-007)	0	74	6

CONFIRMATION NO. 4973

CORRECTED FILING RECEIPT



OC00000007284054

Michael L. Goldman
NIXON PEABODY LLP
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Rochester, NY 14603

Date Mailed: 01/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Zhong-Min Wei, Kirkland, WA;
Ernest Jay DeRocher, Bothell, WA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/211,585 06/15/2000

Foreign Applications

If Required, Foreign Filing License Granted 07/25/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

← omitted

Title

Methods of improving the effectiveness of transgenic plants

Preliminary Class

ENTERED
Nixon Peabody LLP

JAN 17 2002

FILE 21829/91
OKT mdr

435

LICENSE FOR FOREIGN FILING UNDER
Titl 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract, 69 pages) of:

Inventors : **Zhong-Min Wei and Jay DeRocher**

For : **METHODS OF IMPROVING THE EFFECTIVENESS OF TRANSGENIC PLANTS**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No.

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☐ _____ sheets of *[insert "informal" or "formal"] drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (_____ pages).
- ☐ **Copy of signed** Combined Declaration and Power of Attorney (_____ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (_____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (_____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.

☒ ~~Applicants claim small entity status.~~ (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (____ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (____ pages) and ____ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☒ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ Sequence Listing (17 pages).
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

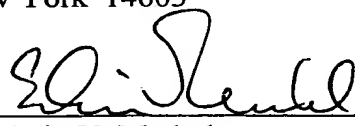
The Filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE	XXXXXXXXXX	XXXXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	74 - 20 =	54	x 9 =	\$486	OR	x 18 =	\$
INDEP CLAIMS	6 - 3 =	3	x 40 =	\$120	OR	X80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$	OR	x270 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$961	OR	TOTAL	\$

- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$961.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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Date: June 13, 2001


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